Moneyplant Investment Advisory
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RISK PROFILING AND RISK ASSESSMENT OF CLIENT

At Moneyplant investment advisory clients are classified as low risk appetite, medium risk appetite And high risk appetite based on the scores obtained by answering the following questionnaire. Once, the score is obtained client will be offered services which suits his/her risk appetite. The classification of the services is also mentioned below.

CLIENT DETAILS :-

1. **Name of the Applicant: - Mr./Mrs./Ms**
2. **Father / spouse name: - Mr./Mrs./Ms**
3. **Gender: - 1) Male 2) Female 3) Others**
4. **Marital status: - 1) unmarried 2) Married 3) Widow Divorced**
5. **Date of birth (as per Pan Card in DD/MM/YY format):-**
6. **Pan Card No. :-**
7. **Adhar Card No:-**
8. **Nationality: - 1) Resident 2) Not resident Indian 3) Others**
9. **Address Details :-**
10. **City :-**
11. **Pin Code :-**
12. **State :-**
13. **Contact Details: -**
14. **Valid Email id :-**

Questionnaire for risk profiling of the client-

Sr.	Questions	Tick choice	Weightage
1	**What is your age group?**		
a	Below 20 Year		50

b	20 to 30 Year		35
c	30 to 65 year		25
d	Above 65 Year		10
2	**What is your occupation?**		
a	Self employed or officer line		35
b	Employed		25
c	Student or senior citizen		15
d	Business man with more than one business		50
3	**Your involvement on trading?**		
a	Rarely traded		10
b	Traded sometimes		25
c	You are a full time trader		50
d	You are a part time trader		35
4	**Your experience in market?**		
a	2 to 5 Year		35
b	More than 5 Year		50
c	Less than 1 Year		15
d	1 to 2 Year		25
5	**Choose your desired time period for investment?**		
a	Intraday		50
b	15 to 30 days		10
c	2 to 5 days		35
d	5 to 15 days		25
6	**Purpose of your investment, in terms of earning profit?**		
a	10 to 20 % monthly return on investment		10
b	More than 40 % monthly return on		50

	investment		
c	20 to 30 % monthly return on investment		25
d	30 to 40 % monthly return on investment		35
7	**Your yearly income by all sources?**		
a	More than 10 Lacs		50
b	5 to 10 lacs		35
c	3 to 5 lacs		25
d	Below 3 lacs		10
8	**Your existing investment / Assets**		
a	2 to 5 lacs		25
b	5 to 10 lacs		35
c	Below 2 lacs		10
d	More than 10 Lacs		50
9	**Your risk tolerance capacity, in terms of loss in your investment amount which you can bear?**		
a	Less than 20 % on your investment amount		10
b	More than 40 % on your investment amount		50
c	30 to 40 % on your investment amount		35
d	20 to 30 % on your investment amount		25
10	**The % of borrowing fund on your total investment amount?**		
a	30 to 40 % on your investment amount		25
b	20 to 30% on your investment amount		35
c	Less than 20 % on your investment amount		50
d	More than 40 % on your investment amount		10
11	**What is the size of your emergency fund?**		
a	Less than 1 month		10

b	1-3 months income		25
c	3-6 months income		35
d	More than 6 months		50

The maximum total is 550, if client scores less than 150, he is a low risk appetite client, if client Scores between 155-250,he is a medium risk appetite client and if client scores above 250 then he is a high risk appetite client.

Low risk appetite client will not be offered any services.

Risk based classification of the services –

Sr.No.	Product Name	Risk Classification
1	Stock Cash	Medium
2	Cash HNI	Medium
3	Cash BTST	Medium
4	Weekly Stock Cash	Medium
5	Stock Futures	High
6	Stock Futures HNI	High
7	Stock futures BTST/STBT	High
8	Weekly stock futures	High
9	Options Call & Put	High
10	Options HNI	High
11	Options BTST	High
12	Weekly stock options	High
13	Index futures	High
14	Index options	High
15	Base Metals	High
16	Bullions and energy	High
17	HNI Base metals	High

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18	HNI Bullions and energy	High
19	Agri Commodity	High
20	Currency	High
21	Private Wealth Management	High

Basis of classification all the products have been classified using following criteria

Medium	High
Stock Cash	All other products

Instructions for Completion of Risk Profiling Form:

1) Each page of Risk Profiling Form to be acknowledged by client.
2) All details of Risk Profiling form should be completely filled by client including client's name & number.
3) Clients have to mandatorily answer all the questions of Risk profiling form
4) Clients can coordinate with our executive in case of any query pertaining to Risk profiling form.
5) Clients have to update the summary of Risk profiling form along with points scored by them during risk assessment and product taken by them at the end of the form, duly signed by client.